A Novel False Congestion Detection Scheme for TCP over OBS Networks

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Abstract — This paper introduces a novel congestion control scheme for TCP over OBS networks, called Statistical Additive Increase Multiplicative Decrease (SAIMD), which aims to improve the throughput performance for high-bandwidth TCP flows in OBS networks. We show through analytic model and extensive simulations that the proposed scheme can effectively solve the false congestion detection problem and significantly outperform the conventional TCP counterparts without losing fairness.

I  INTRODUCTION

Due to the ubiquity of Internet Protocol (IP) and the desire for an integrated IP core carrier in modern communication networks, developing an IP over Optical Burst Switching (OBS) backbone has attracted much attention from both industry and academia in the past decade. However, the adoption of the IP over OBS overlay has resulted in new challenges for the upper layer protocols such as TCP which could be maliciously affected by the underlying OBS bufferless characteristics. In an OBS network, since data bursts cut through pre-configured intermediate core nodes without being stored, burst contention may happen due to traffic burstiness even when the network load is light. Also, TCP packets along with other protocol packets, such as UDP, could be assembled in a single data burst. Therefore, the delay or loss of a burst in the OBS domain may affect multiple TCP segments from a single or multiple TCP senders. Note that burst delay could take place in the OBS domain due to burst random contention other than network congestion. In such a circumstance, the TCP senders could take an improper reaction and reduce sending rate unnecessarily. The lack of ability in accurately identifying congestion in the OBS domain could significantly downgrade the TCP throughput and leave network resources under-utilized.

A number of TCP implementations have been proposed for detecting and controlling network congestion in various network environments [1-6]. Each TCP enhancement has its own design premises, and could be very effective in one circumstance while being much outperformed in another. It has also been proved that jointly considering the characteristics of the whole network environment in the design of the TCP modifications or extensions is necessary [5]. These facts are especially distinguished when TCP is extended to OBS networks and taken to support mission critical applications such as Grid, where multiple TCP segments can be lost when the OBS network is not congested.

In this paper, a novel congestion control mechanism, called Statistical Additive Increase Multiplicative Decrease (SAIMD), is proposed for high-bandwidth TCP flows in the IP over OBS networks. With SAIMD, a TCP sender collects and statistically analyzes a certain number of historical RTTs and dynamically adjusts the multiplicative parameters according to the proposed policy. The proposed scheme only requires the statistical information of RTTs measured at a TCP sender, which achieves a clean separation between the TCP and OBS layer. Based on the proposed SAIMD scheme, the throughput performance is modeled and is further validated by extensive simulation. We will compare the proposed scheme with the well-known TCP implementations to verify its efficiency.

The rest of the paper is organized as follows. Section II introduces the proposed SAIMD scheme. Section III provides an analytical model on TCP throughput of our SAIMD scheme. In Section IV, the proposed TCP congestion control mechanism is compared with some well-known TCP implementations (i.e. Reno, Sack) through extensive simulation. Section V concludes the paper.

II  SAIMD OVER OBS NETWORKS

The SAIMD scheme adopts the framework of Generalized AIMD to enhance the responsiveness of TCP upon any burst loss event that is not caused by congestion. In SAIMD, when a data burst consisting of many TCP segments from single or multiple TCP senders is lost, the corresponding TCP senders will be notified of the packet loss through the receiving of a TD or TO. In either case, instead of halving the cwnd or even throttling to slow-start phase, TCP senders reduce the size of cwnd by the multiplicative factor $\beta$. The factor $\beta$ is dynamically determined by positioning the short-term RTT statistics in the spectrum of long-term historical RTTs. Here, the “statistics” refers to mean, standard deviation, and correlation function, and will be further detailed as follows.

We introduce two parameters in this scheme, $M$ and $N$. The parameter $M$ is the number of consecutive RTTs measured for the long-term statistics. $M$ should be sufficiently large such that the derived statistics (i.e., the mean and standard deviation) can fully represent the intrinsic characteristics of the network topology, routing policy, and traffic distribution/pattern. The parameter $N$ is the number of consecutive RTTs measured prior to a packet loss for the short-term statistics. The average of the $N$ RTTs, denoted by $\text{avg}_N \text{RTT}$, is compared with the average of the $M$ RTTs, denoted by $\text{avg}_M \text{RTT}$, in a TCP session, in order to determine how likely the packet loss is due to network congestion or due to random burst contention at a lightly-loaded OBS network.
In a packet loss event caused by random burst contention, \( \text{avg}_\text{rtt}_N \) is expected to be close to \( \text{avg}_\text{rtt}_M \) since the high utilization of network resources remains only a short time period in the \( N \) RTTs. A larger \( \text{avg}_\text{rtt}_N \) can be considered that a packet loss event is more likely due to network congestion rather than random burst contention.

The relationship between \( \text{avg}_\text{rtt}_M \) and \( \text{avg}_\text{rtt}_N \) is based on the following observations: (1) in TCP over OBS networks, packet losses can be caused by random burst contention in OBS core networks or network congestion along the route of IP access networks and OBS core networks. The difference between random burst contention and network congestion is that network congestion suffers from high resource utilization for a longer period; (2) in the high resource utilization state, the RTT of each packet delivery will be much higher than that in the low-utilization state. This is due to the fact that high-utilization will cause longer queuing delay in IP access networks. Also, in an OBS core network with contention resolution schemes, such as burst retransmission [7] and deflection [8] schemes, bursts will have a high probability of being retransmitted or deflected, which results in a longer average burst delay in the OBS network.

We further quantify the relation between the long-term and short-term statistics in order to define the confidence with which a packet loss is due to network congestion. We assume that the \( M \) consecutive RTTs are random with a mean \( \text{avg}_\text{rtt}_M \) and a variance \( \text{Var}(\text{RTT}) \). We also assume that the \( M \) consecutive RTTs can be approximately modeled as a Normal distribution. To validate this assumption, we statistically analyze 14,000 TCP consecutive RTTs with a Chi-square test under the following two network scenarios: one is a barebone OBS network, where delay variation takes place in IP access networks and burst assembly at OBS edge nodes, the other scenario is an OBS network with the burst deflection scheme [8], where delay variation takes place in IP access networks, burst assembly at OBS edge nodes, and burst deflection due to a longer route. The null hypothesis in the Chi-square test is: “the distribution of the \( M \) RTTs cannot be modeled as normal \( N(\mu,\sigma) \), where \( \mu = \text{avg}_\text{rtt}_M \), and \( \sigma = \sqrt{M \cdot \text{Var}(\text{RTT})} \)”. We found that the null hypothesis can be rejected with 5% confidence, which validates our assumption that the \( M \) consecutive RTTs can be approximated modeled as a Normal distribution.

A. Autocorrelation for Determining a Proper Value of \( N \)

Selecting a proper value of \( N \) is important, since the \( N \) RTTs are expected to provide sufficient information about the short-term network status when a packet is lost. If \( N \) is chosen too small or too large, the short-term network status may not be accurately represented.

Our approach in selecting \( N \) employs an autocorrelation function:

\[
R(0,N) = \frac{1}{N} \sum_{i=0}^{N-1} \text{RTT}(i) \cdot \text{RTT}(i+N),
\]

where \( \text{RTT}(i) \) is the RTT of the \( i \)th packet. The autocorrelation function can reflect how smooth a process is. \( R(0,N) \) has the maximum value when \( N = 0 \). Also, the stronger correlation a group of RTTs has, a larger value of \( R(0,N) \) outcomes [13].

In our scheme, the value of \( N \) is selected such that \( R(0,N) = R(0,0) \cdot \gamma \), where \( \gamma \) is the threshold that determines \( N \) based on the autocorrelation function. In other words, the value of \( N \) is selected such that \( R(0,N) \) decays from its peak value by no less than \( \gamma \% \).

In order to well-represent the short-term network status, the \( N \) RTTs should have a strong correlation with each other. Hence, the value of \( \gamma \) should be close to 1. In our study, \( \gamma \) is taken as 90%.

B. Congestion by SAIMD for TCP over OBS

After a proper \( N \) is selected based on the approach in the previous subsection, the value of \( \text{avg}_\text{rtt}_N \) can be obtained. Then, we can define the confidence with which the current packet loss event of a TCP session is due to network congestion by positioning \( \text{avg}_\text{rtt}_N \) in the Normal distribution spectrum of the \( M \) RTTs. The derived confidence is used to dynamically adjust \( \beta \) at a TCP sender under a developed policy such that \( \beta \) can represent the current network status.

For positioning \( \text{avg}_\text{rtt}_N \) in the Normal distribution spectrum, a function \( z_i = \text{rtt}_\text{conf}(u_i) \) is defined, where \( u_i \) is the confidence level. The \( \text{rtt}_\text{conf}(u_i) \) returns a RTT value (denoted by \( z_i \)) which is larger than a proportion \( u_i \) \( (0<u_i \leq 1) \) of all RTTs in the Normal distribution curve. A one-to-one mapping between \( u_i \) and \( z_i \) exists, as shown in the following expression:

\[
u_i = cdf(z_i) = \sum_{j=0}^{i} pmf(z_j).
\]

The \( cdf(z_i) \) and \( pmf(z_j) \) denote the cumulative density function (CDF) and probability mass function (PMF) in the RTT spectrum given the RTT value of \( z_i \) [13].

The proposed policy for adjusting \( \beta \) is as follows. When \( \text{avg}_\text{rtt}_N \) is smaller than \( z_i = \text{rtt}_\text{conf}(u_i) \), a low confidence of network congestion is indicated, which yields no adjustment of the \( \text{cwnd} \) in response to a packet loss, i.e., \( \beta = 1 \). When \( \text{avg}_\text{rtt}_N > z_i = \text{rtt}_\text{conf}(u_i) \) \( (u_i > u_1) \), it is a strong indication of network congestion. Hence, the TCP sender cuts the \( \text{cwnd} \) by half, i.e., \( \beta = 0.5 \) in response to a packet loss. When \( \text{avg}_\text{rtt}_N \) falls in the interval \([z_i, z_2]\), \( \beta = f(u_i) \), where

\[
f(u_i) = 1 - \frac{u_i - u_1}{u_n - u_1}.
\]

Note that \( u_1 \) and \( u_n \) are two parameters given in advance in order to distinguish network congestion and random burst contention at a lightly-loaded OBS network. In this study, \( u_1 \) and \( u_n \) are set to 50% and 90%, respectively. The policy-based \( \text{cwnd} \) adjustment scheme can be summarized in the following equation:

\[
\beta = \begin{cases} 
0.5 & \text{if } \text{avg}_\text{rtt}_N > \text{rtt}_\text{conf}(u_i), \\
\text{rtt}_\text{conf}(u_i) > \text{avg}_\text{rtt}_N > \text{rtt}_\text{conf}(u_i) & \text{if } \text{avg}_\text{rtt}_N \leq \text{rtt}_\text{conf}(u_i)
\end{cases}
\]

The dynamic adjustment of \( \beta \) based on the confidence level \( u_i \) is also illustrated in Fig. 1. The flow chart for the proposed SAIMD scheme is shown in Fig. 2.
Fig. 1. The relation of $z_0$, $u_i$, and $\beta$ in the SAIMD scheme.

We now discuss two extreme cases in the SAIMD scheme. The first extreme case is that a TCP sender starts the data transmission while the network is congested. In this case, the measured RTTs are large at the beginning of the TCP session and the $\text{avg}_\text{rtt}_N$ and $\text{avg}_\text{rtt}_M$ obtained by the TCP sender are very close. Hence, $\beta$ will be close to 1. For a TD packet loss, the size of the $cwnd$ will not be reduced enough. The SAIMD scheme will then cause persistent congestion in the network and TO packet losses will occur. The TCP sender then enters the slow-start phase and sets the size of the $cwnd$ to be 1. As a result, the network congestion will be possibly relieved. The second extreme case is when there is no RTT variation in a network, which is rare in the real situation. In this case, the $\text{avg}_\text{rtt}_N$ and $\text{avg}_\text{rtt}_M$ obtained by the TCP sender are also very close. As a result, the $cwnd$ will not be reduced enough as a response to collecting TD. Instead, the TCP flow will timeout as a response to persistent congestion.

The SAIMD scheme is particularly suitable for the high bandwidth TCP flows that operate for a relatively long period of time and a large $cwnd$. These high-bandwidth TCP flows are expected to take an important role in some mission-critical applications such as Grid. Depending on the number of TCP segments assembled in a contended burst, these flows may either trigger a TO or cut the $cwnd$ to half as a response to the receiving of TDs. Once there is a false congestion detection event which leads to TO or TD, the time required for increasing the $cwnd$ (most likely through an additive increase) to its previous size could be very long, which downgrades the TCP performance and impairs the desired application scenario.

Compared with the conventional AIMD based TCP scheme, the SAIMD causes additional overhead for maintaining the $M$ RTTs along with the efforts in computing the autocorrelation and confidence intervals for the $N$ RTTs. The cost is nonetheless a trade-off with the long convergence time in recovery from slow-start caused by false congestion detection. This is considered with essential importance for those high-bandwidth TCP flows which may take hours or days to recover from a slow-start. Note that the computation for the autocorrelation and confidence interval is required only when a segment loss event occurs, and the computation complexity is almost a constant regardless of $M$ and $N$. In addition, the proposed SAIMD scheme is mainly for the long and high-bandwidth TCP flows instead of short TCP such as HTTP web services; thus, the resultant additional overhead to the whole network is expected to be trivial.

### III PERFORMANCE ANALYSIS

In this section, we analyze the throughput of the SAIMD fast flows in an OBS network. We have selected TCP Sack for our analytical model since it has been widely deployed in the current operating systems and has the best throughput performance over OBS networks compared to TCP Reno and New Reno [6,11,12]. The following table lists the notations used in the analytical model.

<table>
<thead>
<tr>
<th>Notation</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>$P$</td>
<td>packet loss probability</td>
</tr>
<tr>
<td>$b$</td>
<td>number of packets that are acknowledged by receiving an $\text{ack}$</td>
</tr>
<tr>
<td>$B$</td>
<td>TCP throughput</td>
</tr>
<tr>
<td>$H$</td>
<td>number of packets transmitted during $\text{RTT}$</td>
</tr>
<tr>
<td>$\text{RTT}$</td>
<td>average round trip time</td>
</tr>
<tr>
<td>$\text{TOP}$</td>
<td>timeout period</td>
</tr>
<tr>
<td>$\text{TDP}$</td>
<td>triple duplicate period</td>
</tr>
<tr>
<td>$\text{RTO}$</td>
<td>retransmission timeout</td>
</tr>
<tr>
<td>$Z^{\text{TO}}$</td>
<td>duration of a sequence of $\text{TOs}$</td>
</tr>
<tr>
<td>$X$</td>
<td>number of successful rounds in a $\text{TDP}$</td>
</tr>
<tr>
<td>$Y$</td>
<td>number of packets sent before $\text{TD}$ or $\text{TO}$ expiration</td>
</tr>
<tr>
<td>$W$</td>
<td>current congestion window size in segments</td>
</tr>
<tr>
<td>$W_m$</td>
<td>TCP maximum window size</td>
</tr>
<tr>
<td>$S$</td>
<td>number of segments belonging to a single TCP flow being assembled in the current burst</td>
</tr>
<tr>
<td>$Q$</td>
<td>ratio between the probability of $\text{TO}$ loss and $\text{TD}$ loss</td>
</tr>
</tbody>
</table>

In our model, we define a round as when the TCP sender emits the current $cwnd$ (in segments) and waits until either it receives an acknowledgement or the TO expires. We also define a TD loss as a packet loss detected by triple duplicates.
and define a TO loss as a packet loss detected after TCP sender timeouts.

We obtain the Statistical AIMD TCP Sack throughput in OBS network for both TD and TO losses as follows:

\[ B_{S} = \frac{E[Y] + Q \times E[H]}{E[TDP] + Q \times E[TOP]} \]  

(2)

In the following two sections, we will derive \( E[Y] \), \( E[TDP] \), \( E[TOP] \), \( E[H] \), and \( Q \) in the presents of TD and TO losses respectively.

A. Triple Duplicate (TD) Losses

As per the model in [12], suppose that the \( (c+1) \)th burst is the first burst lost in the \( c \)th TDP, \( TDP_{c} \), which contains the first \( (a+1) \)th lost segment in the \( TDP_{a} \). As shown in Fig. 3, \( h_{i} \) additional segments will be sent in the same round after the \( (c+1) \)th burst is sent and lost. After receiving TD, the TCP sender retransmits all the missing segments contained in the lost burst in the next round. Therefore, in the next round, \( W_{X_{c}} - S \) new segments will be sent, where \( W_{X_{c}} \) is the cwnd size in the \( X \)th round in the TDP. After recovering all the segments lost in the burst, a new round \( TDP_{c+1} \) starts with the cwnd being cut by a factor of \( \beta \). The total number of segments successfully transmitted during the \( TDP_{c} \) is \( Y_{i} = a_{i} + h_{i} + W_{X_{c}} - S \). \( E[h] \) is approximately equal to \( E[\beta]E[W_{X_{c}}] \), since

\[ 0 \leq h_{i} \leq W_{X_{c}} \] and the cwnd is reduced by \( \beta \) for every TD loss.

Thus, we have

\[ E[Y] = E[a] + (E[\beta] + 1)E[W_{X_{c}}] - S \]  

(3)

Fig. 3. Evolution of AIMD TCP Sack congestion window over OBS networks.

As per Eq. (1), \( \beta \) is a function of the avg rtt N and the confidence level \( u_{i} \). Considering Fig. 1, we derive \( E[\beta] \) as follows,

\[ E[\beta] = \beta = \text{cdf}(z_{j}) + \sum_{i=2}^{\infty} f(z_{i}) \cdot \text{pmf}(z_{i}) + \frac{1 - \text{cdf}(z_{e})}{2} \]

(4)

where \( \text{cdf}(z_{j}) \) and \( \text{pmf}(z_{i}) \) denote the cumulative density function (CDF) and probability mass function (PMF) in the RTT spectrum given the RTT value of \( z_{j} \) [13]. An alternative way for solving \( E[\beta] \) can be through historical collection of the \( \beta \) values, which yields:

\[ E[\beta] = \bar{\beta} = \sum_{i} \beta_{i} p_{\beta}(\beta_{i}) \]  

(5)

where \( p_{\beta}(\beta_{i}) \) is the probability of the distinct values \( \beta_{i} \) to exist.

In order to derive \( E[a] \), we consider a random process \( \{ c_{i} \} \), which is the average number of bursts sent in the TDP until the first burst loss. Assume that burst contentions in OBS networks occur independently. The probability of \( c = k \) (or the case where \( k-1 \) bursts are successfully delivered before a burst loss is encountered) can be written as:

\[ P[c = k] = (1 - p)^{k-1} \cdot p \]  

(6)

Given that \( a_{i} = Sc \), we have,

\[ E[a] = S E[c] = S \sum_{k=1}^{\infty} k(1-p)^{k-1} p = \frac{S}{p} \]  

(7)

By substituting Eq. (7) into Eq. (3), we have

\[ E[Y] = (\bar{\beta} + 1)E[W_{X_{c}}] + \frac{1 - p}{p} S \]  

(8)

1) For high packet losses \( (W_{X_{c}} < W_{m}) \)

In the presents of a high packet loss probability, the cwnd will remain less than the maximum size \( W_{m} \). Recall that \( b \) denotes the number of packets that are acknowledged by receiving an ack. During the TDP, the cwnd increases between \( \beta W_{X_{c}} \) and \( W_{X_{c}} \). Since the increase of the cwnd is linear with slope \( 1/b \), thus,

\[ W_{X_{c}} = \beta W_{X_{c}} + 1 + \frac{X_{c}}{b} \]  

(9)

By reversing Eq. (9), we have

\[ E[X_{c}] = b(1 - \bar{\beta})E[W_{X_{c}}] \]  

(10)

Since \( Y_{i} \) can be derived by summarizing the number of segments sent in \( X_{i} \) successful rounds and the additional \( (W_{X_{c}} - S) \) segments in the next round of \( X_{i} \) as shown in Fig. 3, we have:

\[ Y_{i} = \sum_{k=0}^{X_{c}/b-1} (\beta W_{X_{c}} + k) + E[W_{X_{c}}] - S \]

(11)

By substituting Eq. (9), we have

\[ Y_{i} = \frac{X_{c}}{2} (\beta W_{X_{c}} + X_{c} - 1) + W_{X_{c}} - S \]

By assuming zero correlation between \( \beta \) and \( W_{X_{c}} \), after substituting Eq. (10), we get

\[ E[Y] = \frac{b(1 - \bar{\beta})^{2} E[W_{X_{c}}]^{2} - b(1 - \bar{\beta}) E[W_{X_{c}}]}{2} + E[W_{X_{c}}] - S \]  

(11)

By combining Eq. (11) and Eq. (8), we have,
\[
\frac{b(1 - \overline{\beta})^2}{2} E[W_X]^2 + \frac{b\overline{\beta} - b}{2} - \frac{b}{2} - \frac{b}{2} - \frac{b}{2} \overline{E}[W_X] - \frac{S}{p} = 0
\]

\[E[W_X] = \frac{\overline{\beta} - \frac{b(\overline{\beta} - 1)}{2} + \sqrt{\left(\frac{b(\overline{\beta} - 1)}{2} - \overline{\beta}\right)^2 + \frac{2b(1 - \overline{\beta}^2)}{p}}}{b(1 - \overline{\beta}^2)} \]  

(12)

By substituting Eq. (12) into Eq. (8), we obtain \(E[Y]\) as:

\[E[Y] = \frac{\overline{\beta} - \frac{b(\overline{\beta} - 1)}{2} + \sqrt{\left(\frac{b(\overline{\beta} - 1)}{2} - \overline{\beta}\right)^2 + \frac{2b(1 - \overline{\beta}^2)}{p}}}{b(1 - \overline{\beta}^2)} + \frac{1 - p}{p}S \]  

(13)

Also, by substituting Eq. (12) into Eq. (10), we obtain \(E[X]\) as,

\[E[X] = \frac{\overline{\beta} - \frac{b(\overline{\beta} - 1)}{2} + \sqrt{\left(\frac{b(\overline{\beta} - 1)}{2} - \overline{\beta}\right)^2 + \frac{2b(1 - \overline{\beta}^2)}{p}}}{1 + \overline{\beta}} + \frac{1 - p}{p}S \]  

(14)

\(E[TDP]\) is then obtained as

\[E[TDP] = RTT(E[X] + 1) = \frac{2\overline{\beta} - \frac{b(\overline{\beta} - 1)}{2} + \sqrt{\left(\frac{b(\overline{\beta} - 1)}{2} - \overline{\beta}\right)^2 + \frac{2b(1 - \overline{\beta}^2)}{p}}}{1 + \overline{\beta}} + 1 \]  

(15)

2) **For low burst losses** (\(W_X = W_m\))

For a very low burst loss probability, the **cwnd** size will most likely remain to be the maximum **cwnd** size, \(W_m\), before a burst loss event occurs. From Eq. (8) we can obtain,

\[E[Y] = (\overline{\beta} + 1)W_m + \frac{1 - p}{p}S \]  

(16)

During each TDP, the **cwnd** size linearly increases from \(\beta W_m\) to \(W_m\) for \((W_m - \beta W_m)\) rounds and then stays at \(W_m\) for \((X_s - W_m - \beta W_m)\) rounds, hence we can obtain the number of segments that are transmitted before a TD as \((W_m - \beta W_m)^2 + W_m(X_s - W_m + \beta W_m) - h_m\). On the other hand, from Eq. (7), the total number of segments that are successfully transmitted before a packet loss is \(S/p\). Hence we have

\[
\frac{(W_m - \beta W_m)^2}{2} + W_m(X_s - W_m + \beta W_m) - h_m = \frac{S}{p}
\]

(17)

By reversing Eq. (17), we can obtain \(E[X]\) as

\[E[X] = W_m(1 - \overline{\beta}) - \frac{W_m(1 - 2\overline{\beta} + \overline{\beta}^2)}{2} + \overline{\beta} + \frac{S}{W_m p} \]  

(18)

The duration of the **TDP** is obtained as,

\[E[TDP] = RTT(E[X] + 1) = \frac{RTT(W_m(1 - \overline{\beta}) - W_m(1 - 2\overline{\beta} + \overline{\beta}^2)) + \overline{\beta} + \frac{S}{W_m p} + 1}{RTT(W_m(1 - \overline{\beta}) - W_m(1 - 2\overline{\beta} + \overline{\beta}^2)) + \overline{\beta} + \frac{S}{W_m p} + 1} \]  

(19)

**B. Timeout (TO) Losses**

The behavior of TCP SAIMD for a TO loss is same as that of TCP Sack. Hence, the analysis of TO losses is same as the analysis in [11]. From [11], we have

\[E[H] = E[R] - 1 = \frac{p}{1 - p} \]  

(20)

\[E[TO] = RTO f(p) \]  

(21)

where \(f(p) = 1 + p + 2p^2 + 4p^3 + 8p^4 + 16p^5 + 32p^6\), and

\[Q(E[W_X]) = p^{3 - 1} \]  

(22)

**C. SAIMD TCP SACK over OBS Throughput Estimation**

In the case of \(W_X < W_m\), we can obtain the SAIMD throughput by substituting Eqs. (13), (15), (20), (21), and (22) into Eq. (2), which yields

\[B_s = \frac{\overline{\beta} - \frac{b(\overline{\beta} - 1)}{2} + \sqrt{\left(\frac{b(\overline{\beta} - 1)}{2} - \overline{\beta}\right)^2 + \frac{2b(1 - \overline{\beta}^2)}{p}}}{1 + \overline{\beta}} + \frac{1 - p}{p}S + \frac{w_E}{p^{3 - 1}} \]  

(23)

In the case of \(W_s = W_m\) TCP SAIMD throughput can be obtained by substituting Eqs. (16), (19), (20), (21), and (22) into Eq. (2), which yields

\[B_s = \frac{(\overline{\beta} + 1)W_m + \frac{(1 - p)S}{p} + \frac{w_E}{p^{3 - 1}}}{RTT(W_m(1 - \overline{\beta}) - W_m(1 - 2\overline{\beta} + \overline{\beta}^2)) + \overline{\beta} + \frac{S}{W_m p} + 1) + \frac{w_E}{p^{3 - 1}} \]  

(24)

**IV NUMERICAL RESULTS**

To verify the proposed Statistical AIMD scheme, simulation is conducted using **NS-2**, where the NSF network topology is adopted as the OBS core network. Each link corresponds to a bi-directional control channel and a fiber link for data burst transfer. The link consists of 8 wavelengths operating at 10 Gbps transmission rate. The burst offset time is set to 4\(\mu\)s. The mixed time/length based burst assembly algorithm is adopted, where the burst timeout threshold is 5ms and the maximum burst length is 50KB. The core nodes implement the LAUC-VF channel scheduling algorithm.

The File Transfer Protocol (FTP) application is used for generating TCP traffic. The maximum congestion window size of a TCP flow is 128 segments, and each segment has the size of 1KB. The TCP throughput is obtained over a simulation period of 10\(^4\) sec. The TCP senders and receivers are attached to the OBS edge nodes. Burst losses occur at the OBS core network due to burst contention.

Fig. 4 compares the results from the analytical model and the simulation model. We can see that the throughput
performance from the two approaches match, which validates our analytical model.

Fig. 4. SAIMD throughput from the simulation model vs. the analytical model.

We can see that the throughput from the conventional TCP Sack and Reno is much lower than that from the SAIMD scheme when the packet loss probability is low. This is because the AIMD (1, 0.5) senders always unnecessarily halve the cwnd for a packet loss event at low traffic loads. On the other hand, the SAIMD Sack senders have achieved up to 37% throughput improvement compared to the AIMD senders since it does not rigidly react to a packet loss at low traffic loads. Instead, the factor $\beta$ is adjusted at each SAIMD sender to guarantee a smaller cwnd reduction in response to a packet loss at low traffic loads, which correctly reacts to the packet losses due to random burst contention.

From the above simulation results, it is observed that SAIMD can effectively solve the false congestion detection problem by hiding the non-congestion losses in the OBS domain from the TCP senders while maintaining the network stability. The derivation of the beta value based on the given confidence interval is considered as a one-step advancement toward the next-generation accurate congestion prediction framework in the TCP flavor design with various and heterogeneous transmission medias.

V  CONCLUSIONS

The paper introduced a novel Statistical Additive Increase Multiplication Decrease (SAIMD) framework for TCP congestion control in the carrier networks supported by the OBS technology. The proposed scheme aims to resolve the vicious effect of TCP false congestion due to the bufferless characteristic in the OBS domain. The proposed scheme collects and analyzes the historical RTTs and adjusts $\beta$ according to the statistics of the collected RTTs at the occurrence of any packet drop event. Analysis was conducted to evaluate the TCP throughput using the proposed scheme. Simulations were conducted to validate the proposed TCP throughput model and to evaluate the proposed congestion control mechanism by comparing it with the conventional TCP Reno and Sack under different network conditions. Simulation results showed that the proposed SAIMD scheme significantly outperforms the conventional TCP implementations. The merits gained by SAIMD are particularly beneficial to the high-bandwidth and fast TCP flows, in which a false congestion detection event caused by burst contention could lead to serious impairment on the TCP performance.

REFERENCES


